

# LONG-TERM BENEFITS OF TARGETING HYPERKYPHOSIS IN OLDER COMMUNITY-DWELLING ADULTS

*Wendy Katzman, PT, DPTSc, OCS*

*Professor Emeritus*

*Department of Physical Therapy & Rehabilitation Science*

*University of California San Francisco*

*UCSF Grand Rounds*

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# Roadmap

- **Kyphosis background**
- **Specialized Center of Research (SCOR) Kyphosis Trial**
- **Long-term follow-up study**
- **Clinical application/impact**
- **Recent work**

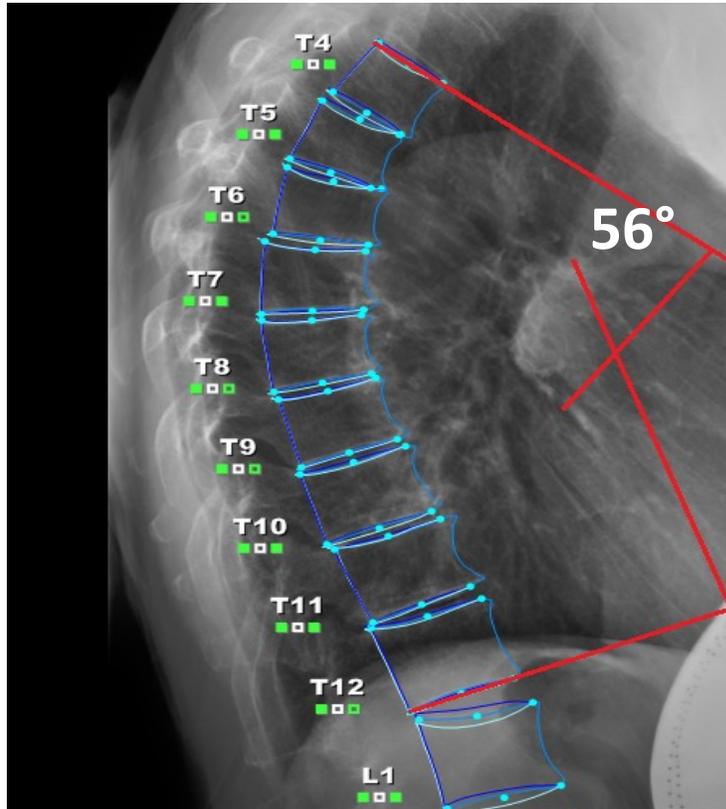
# BACKGROUND

## Age-related hyperkyphosis

- Anterior curvature in the thoracic spine greater than 40 degrees
- Kyphosis increases with age
- Associated with reduced physical mobility, health-related quality of life and mortality in older adults
- More common in older females than males

***No standard intervention to reduce age-related hyperkyphosis.***

# HOW IS HYPERKYPHOSIS ASSESSED?



**Gold standard radiographic Cobb angle of kyphosis**

- Angle formed by intersecting lines drawn through the superior endplate of T4 and the inferior endplate of T12

**Lordosis measured from L1 to L5**

**Clinical measures of kyphosis**

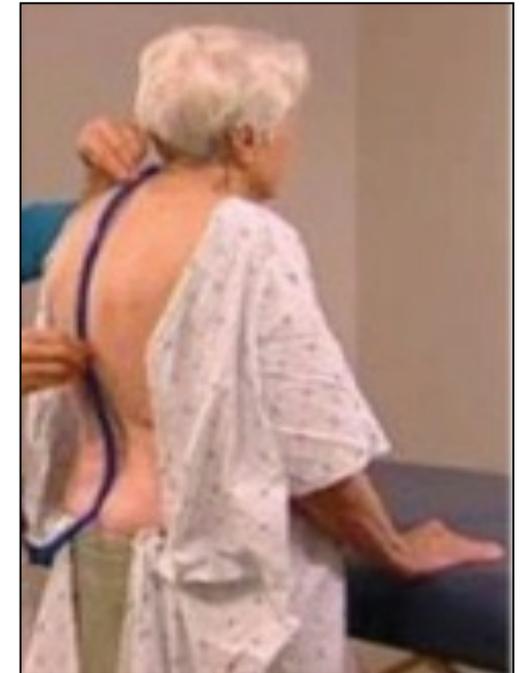
# CLINICAL MEASURES OF KYPHOSIS AND LORDOSIS



**Kyphometer-derived kyphosis**

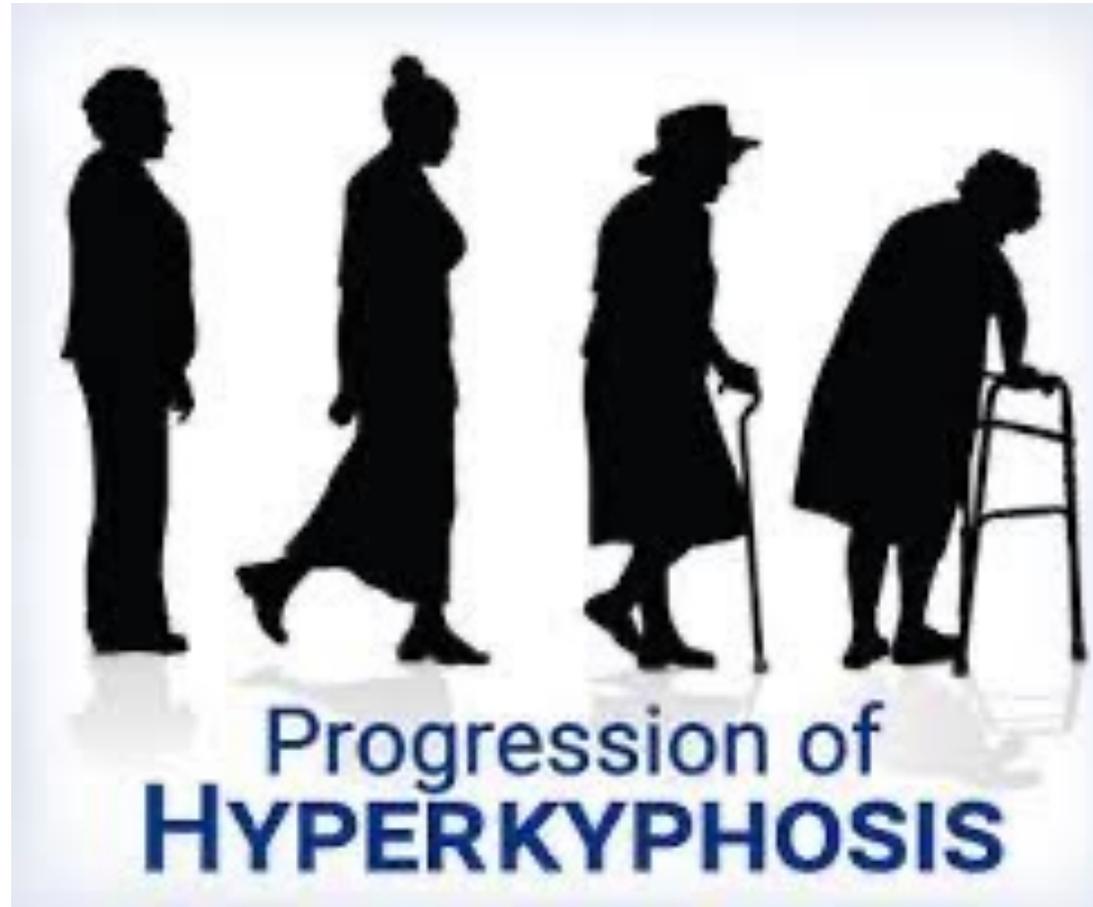


**Inclinometer**

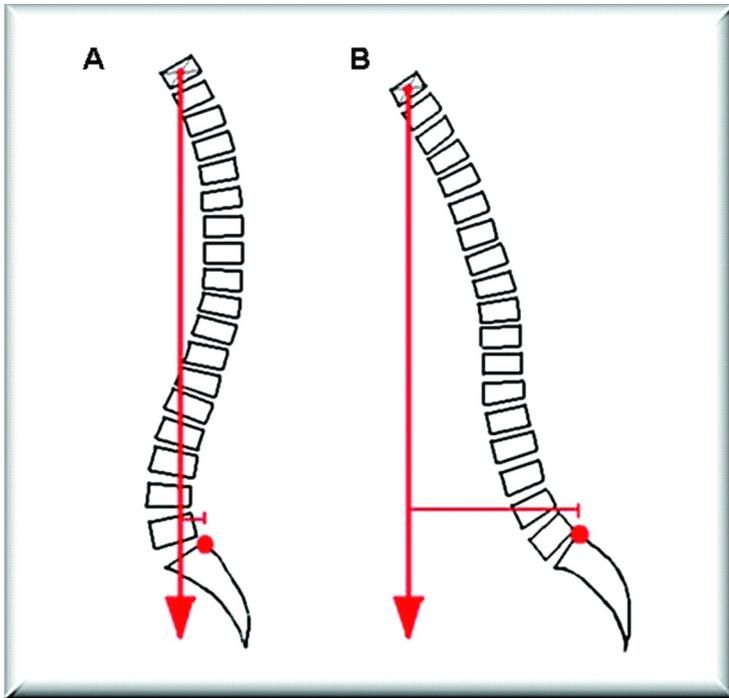


**Flexible ruler**

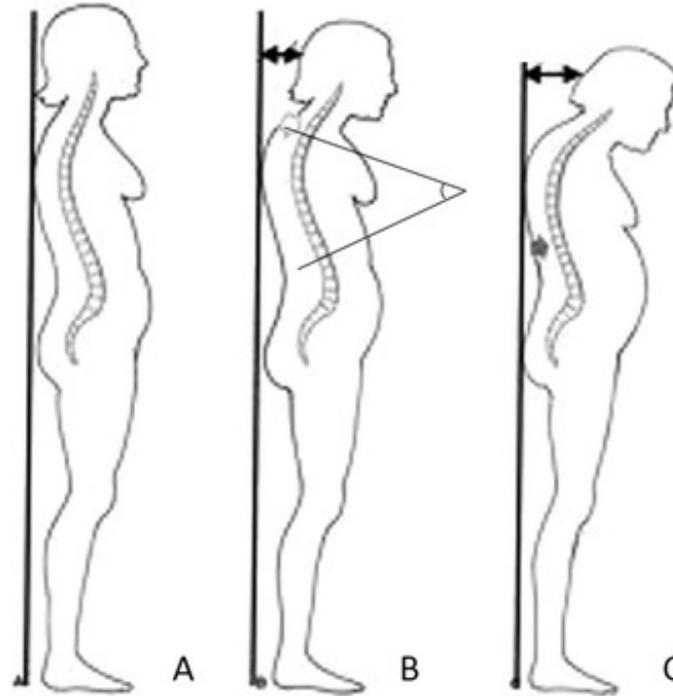
# HYPERKYPHOSIS AND SAGITTAL ALIGNMENT



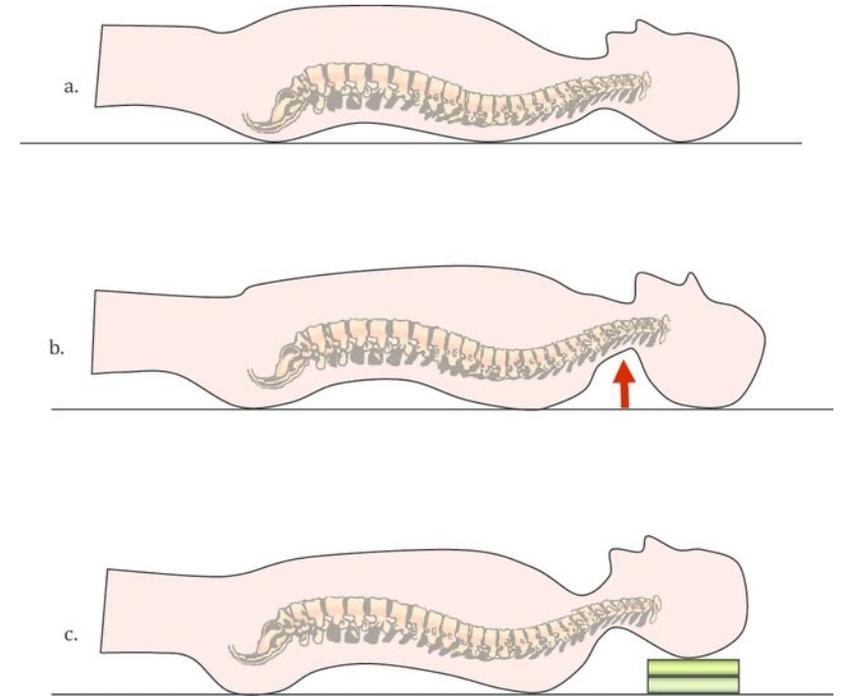
# RADIOGRAPHIC AND CLINICAL MEASURES OF SAGITTAL BALANCE



Radiographic sagittal vertical axis



Occiput-to-wall



Block method

# RESEARCH QUESTIONS

**Is kyphosis modifiable in older adults?**

**What are effective treatment interventions?**

**Will improved kyphosis associate with change in physical function?**

## **Kyphosis-specific exercise and posture training program**

### **Warm-up (10 mins)**

**Increase core temperature with warm-up exercises on roller**

### **Cool-down (5 mins)**

- **Neck and upper extremity stretches**
- **Lower extremity stretches**

### **Spinal strength exercises (20 mins)**

**8-10 reps**

**Hard to somewhat hard Borg scale**

**Progress from 0 – 5#/theraband**

- **Prone trunk lift to neutral**
- **Quadruped arm/leg lift**
- **Alternating shoulder flexion/extension on roller**
- **Sidelying rotation extension**
- **Core stabilization on roller**
- **Wall push-ups with spine in neutral**

### **Spinal mobility exercises (10 mins)**

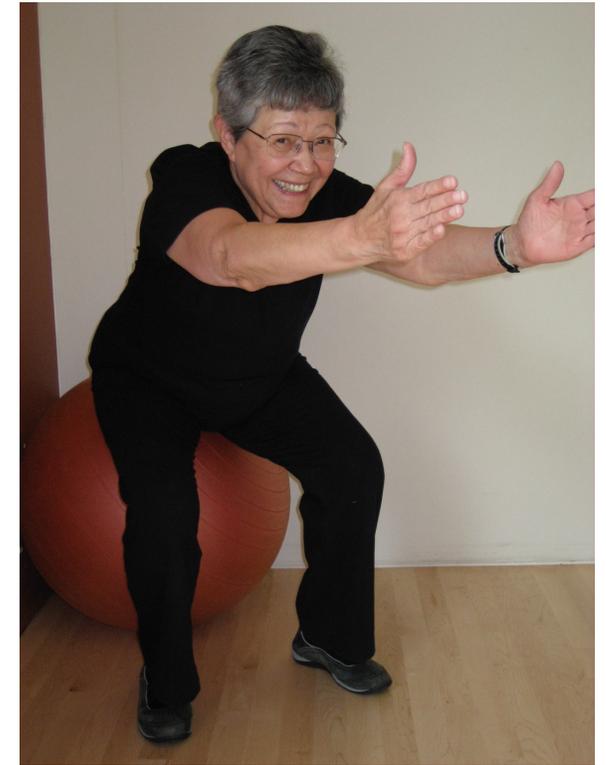
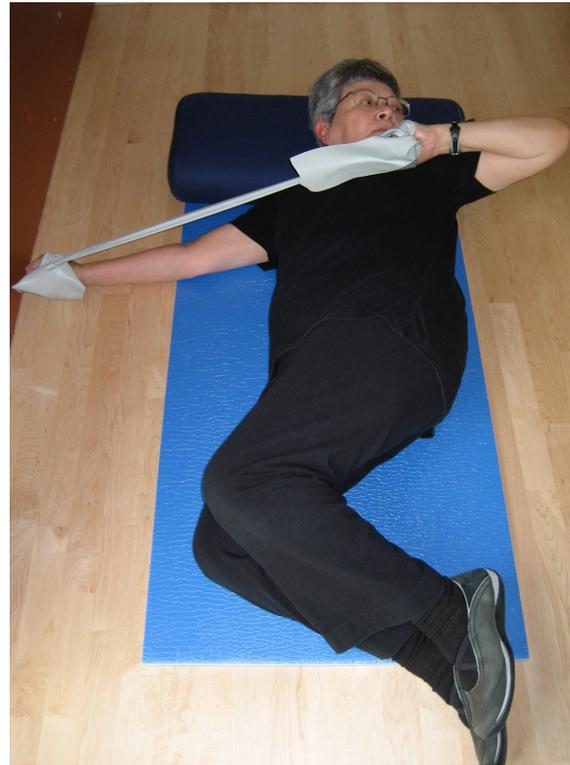
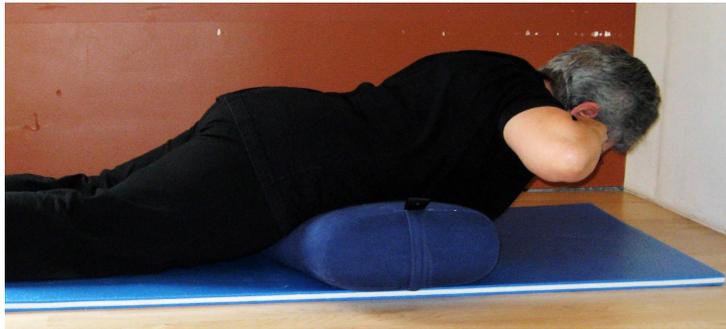
- **Spine mobilization on roller**
- **Standing shoulder flexion/thoracic extension**
- **Quadruped thoracic extension mobilization**

### **Spinal alignment (15 mins)**

**Postural training**

- **Bilateral and single leg stance**
- **Sit-to stand, squats, lateral stepping**
- **Diaphragmatic breathing**
- **Practice 3x/day with ADLs**

## Kyphosis-specific exercise and posture training program

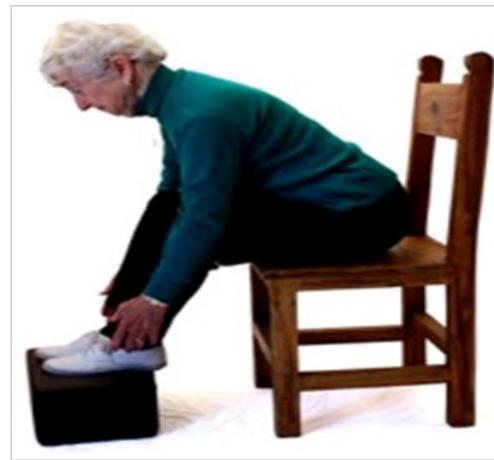


# ABCs of good posture

Target	Example Cues
Forward head posture	<ul style="list-style-type: none"><li>• <b>A</b>lign the head over the shoulders, pelvis and feet</li><li>• Pelvis is neutral</li></ul>
Core stability	<ul style="list-style-type: none"><li>• <b>B</b>reathe deep into the concavity of the spine and elongate the spine</li><li>• Gently brace your abdomen as if someone were about to poke you in the stomach and reach the tailbone to the ground</li></ul>
Hyperkyphosis, rounded shoulders	<ul style="list-style-type: none"><li>• <b>C</b>orrect the upper back curvature</li><li>• Show off jeweled necklace</li><li>• Spread your “wings”, shoulders pressed down</li></ul>



## Training for Best Posture – Integrating into ADLs



Photos: Do It Right, American Bone Health, Sherri Betz, PT,GCS

# CHANGE IN FLEXED POSTURE, MUSCULOSKELETAL IMPAIRMENTS AND PHYSICAL PERFORMANCE AFTER GROUP EXERCISE IN COMMUNITY DWELLING OLDER WOMEN

Purpose: Determine whether flexed posture, strength, range of motion (ROM), and physical performance would be observed after 12 weeks of group exercise in older women.

Methods: Enrolled 21 women with thoracic kyphosis of 50° or greater. Assessed pre-post-test change in measured kyphosis, strength, ROM, and physical performance.

Multidimensional group exercise performed 2 times a week for 12 weeks.

Results: Clinical measure of kyphosis, strength, ROM, and physical performance improved.

Conclusion: Multidimensional group exercise reduced kyphosis and improved physical performance.

## SPINE STRENGTHENING AND POSTURE TRAINING PROGRAM TO REDUCE HYPERKYPHOSIS IN OLDER ADULTS: RESULTS FROM THE SHEAF RANDOMIZED CONTROLLED TRIAL

Purpose: Assess efficacy of 6-month randomized controlled trial of spine strengthening exercise and posture training delivered 3x/week.

Methods: Enrolled 99 participants (71 women, 28 men), mean age 71 years, range 60–88, with baseline Cobb angle 57°. Assessed change in kyphosis, strength, physical performance and quality of life.

Results: Radiographic and clinical measures of kyphosis improved.

Secondary measures of self image and satisfaction with appearance improved.

Conclusions: Spine-strengthening exercise and postural training may be an effective treatment option for older adults with hyperkyphosis; radiographic change in kyphosis at 6-months.

## SEX DIFFERENCES IN RESPONSE TO TARGETED KYPHOSIS SPECIFIC EXERCISE AND POSTURE TRAINING IN COMMUNITY DWELLING OLDER ADULTS: A RANDOMIZED CONTROLLED TRIAL

Design: Two arm randomized controlled waitlist design.

Participants: 112 males and females age  $\geq 60$  years with kyphosis angle  $\geq 40^\circ$ .

Methods: Exercise and posture training intervention, led by physical therapist 2x/week for 3 months. Waitlist controls received intervention after 3 months. Between group differences tested at 3-months. Sex differences explored.

Results: 1.7 degree between group difference in change in radiographic Cobb angle and 4.8 degree difference in kyphometer kyphosis. No change in physical function. No sex difference.

Conclusions: Intervention improved/slowed the progression of kyphosis. Magnitude of change did not differ by sex. Longer term follow-up may be needed.

# LONG-TERM EFFICACY OF TREATMENT EFFECTS AFTER A KYPHOSIS AND POSTURE TRAINING INTERVENTION IN OLDER COMMUNITY DWELLING ADULTS: A COHORT STUDY

Contacted all participants for follow-up.

- 43 returned for follow-up 3 years (range 2-4) later.
- No differences in short-term change in sample that returned for follow-up.
- Restricted analyses to participants who returned for long-term follow-up.

Compared short-term and long-term change in participants.

Compared short-term and long-term change in participants, stratified by sex.

Compared long-term change in males and females.

*Katzman, WB, Parimi, N, Gladin, A, et al., Journal of Geriatric Physical Therapy: July/September 2021*

# MEASURES PRE-, POST- AND LONG-TERM (N=43)

	PRE-INTERVENTION	POST-INTERVENTION	LONG-TERM F/U	P-VALUE
	Mean ± Standard Deviation			
Kyphosis (degs)	53.8 ± 8.1	50.2 ± 9.7	48.9 ± 11.9	0.077
Lordosis (degs)	31.2 ± 12.5	29.8 ± 12.2	38.7 ± 11.5	0.002
Modified PPT (0-36 pts)	33.2 ± 1.9	33.7 ± 2.4	30.1 ± 2.1	<0.001
4-meter (m/s)	1.29 ± 0.28	1.31 ± 0.22	1.40 ± 0.25	0.051
Time up and go (secs)	7.26 ± 1.23	7.21 ± 1.28	7.70 ± 1.61	0.596
Time loaded standing (secs)	120.5 ± 46.1	126.6 ± 52.0	145.7 ± 46.7	0.017
Six minute walk (m)	512.6 ± 81.4	524.3 ± 77.1	432.9 ± 93.7	<0.001
PASE (0-400 pts)	118 ± 59	115 ± 57	120 ± 57	0.842 <sup>17</sup>

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	PRE-INTERVENTION	POST-INTERVENTION	LONG-TERM F/U	P-VALUE
	Mean ± Standard Deviation			
SRS 30 self-image (0-5 pts)	3.54 ± 0.62	3.81 ± 0.55	3.77 ± 0.67	0.147
PROMIS mental health t-score	53.2 ± 7.6	53.4 ± 7.9	54.7 ± 9.4	0.676
PROMIS physical health t-score	52.5 ± 5.7	53.8 ± 6.6	52.2 ± 7.0	0.476
PROMIS physical function t-score	49.2 ± 7.4	52.0 ± 9.7	51.5 ± 8.0	0.284
	Median and Interquartile Range (Q1 to Q3)			
Spinal flexion (peak torque/bw)	29.5 (22.7 to 36.8)	31.75 (23.6 to 35.8)	29 (23.0 to 38.4)	0.916
Spinal extension (peak torque/bw)	70.6 (55.7 to 81.0)	74.7 (60.3 to 82.9)	68 (55.9 to 79.2)	0.662

# MEASURES PRE-, POST- AND LONG-TERM (N=43)

	PRE-INTERVENTION	POST-INTERVENTION	LONG-TERM F/U	P-VALUE
	Number (percentage)			
In general, how do you rate your health?				0.668
2: Fair	2 (4.65)	2 (4.65)	2 (4.76)	
3: Good	18 (41.86)	11 (25.58)	14 (33.33)	
4: Very Good	15 (34.88)	24 (55.81)	19 (45.24)	
5: Excellent	8 (18.6)	6 (13.95)	7 (16.67)	

# COMPARING SHORT-TERM VS LONG-TERM CHANGE

	SHORT-TERM	LONG-TERM	P-VALUE
	Mean (95% CI)		
<b>Kyphosis (degs)</b>	<b>-3.8 (-5.6 to -2.0)</b>	<b>-1.5 (-3.9 to 1.0)</b>	<b>0.173</b>
<b>Lordosis (degs)</b>	<b>-1.1 (-2.9 to 0.7)</b>	<b>8.9 (6.2 to 11.6)</b>	<b>&lt;0.001</b>
Modified PPT (0-36 pts)	0.4 (-0.2 to 1.0)	-3.6 (-4.4 to -2.7)	<0.001
<b>4-meter (m/s)</b>	<b>0.02 (-0.03 to 0.08)</b>	<b>0.08 (0.02 to 0.14)</b>	<b>0.105</b>
Timed up and go (secs)	-0.06 (-0.36 to 0.23)	0.49 (0.10 to 0.88)	0.031
<b>Time loaded standing (secs)</b>	<b>5.4 (-4.3 to 15.2)</b>	<b>17.7 (1.8 to 33.8)</b>	<b>0.053</b>
Six minute walk test (m)	14.4 (-6.9 to 35.8)	-93.1 (-119.2 to -67.0)	<0.001
PASE (0-400 pts)	-4 (-19 to 10)	4 (-16 to 24)	0.393

# COMPARING SHORT-TERM VS LONG-TERM CHANGE

	SHORT-TERM	LONG-TERM	P-VALUE
	Mean (95% CI)		
Kyphosis (degs)	-3.8 (-5.6 to -2.0)	-1.5 (-3.9 to 1.0)	0.173
Lordosis (degs)	-1.1 (-2.9 to 0.7)	8.9 (6.2 to 11.6)	<0.001
<b>Modified PPT (0-36 pts)</b>	<b>0.4 (-0.2 to 1.0)</b>	<b>-3.6 (-4.4 to -2.7)</b>	<b>&lt;0.001</b>
4-meter (m/s)	0.02 (-0.03 to 0.08)	0.08 (0.02 to 0.14)	0.105
<b>Timed up and go (secs)</b>	<b>-0.06 (-0.36 to 0.23)</b>	<b>0.49 (0.10 to 0.88)</b>	<b>0.031</b>
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<b>Six minute walk test (m)</b>	<b>14.4 (-6.9 to 35.8)</b>	<b>-93.1 (-119.2 to -67.0)</b>	<b>&lt;0.001</b>
PASE (0-400 pts)	-4 (-19 to 10)	4 (-16 to 24)	0.393

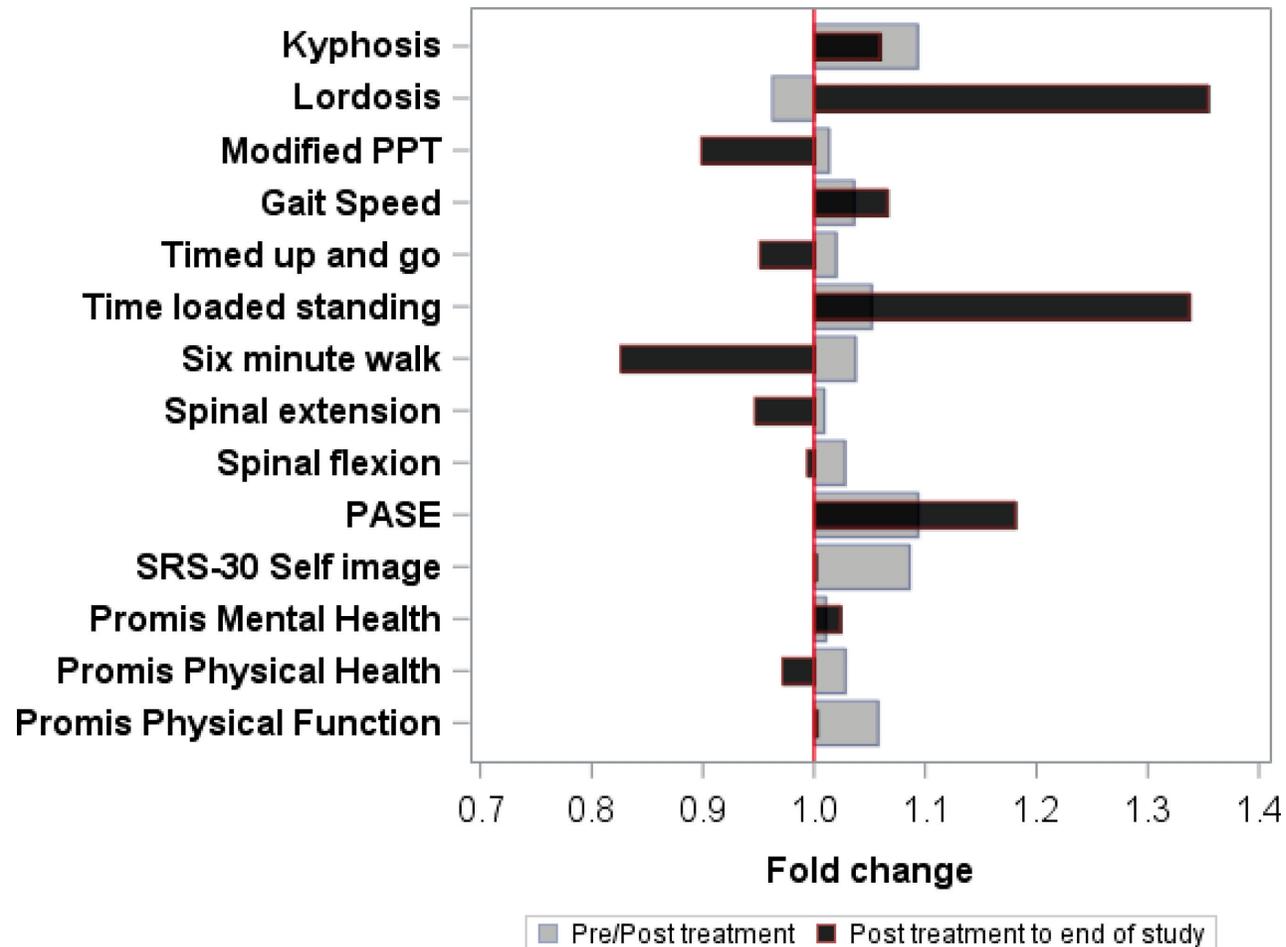
# COMPARING LONG-TERM CHANGE MALES VS FEMALES

	MALES (n=16)	FEMALES (n=27)	P-VALUE
	Mean (95% CI)		
Kyphosis (degrees)	-1.7 (-5.4 to 2.0)	-1.3 (-4.8 to 2.1)	0.882
Lordosis (degrees)	10.0 (3.9 to 16.2)	8.2 (5.6 to 10.9)	0.839
Modified PPT (0-36 points)	-2.8 (-4.6 to -1.0)	-4.0 (-4.9 to -3.0)	0.293
4-meter (meters/second)	0.08 (-0.06 to 0.22)	0.08 (0.01 to 0.15)	0.599
Timed up and go (seconds)	0.95 (0.16 to 1.75)	0.22 (-0.20 to 0.64)	0.144
<b>Time loaded standing (seconds)</b>	<b>-14.6 (-46.7 to 17.6)</b>	<b>33.2 (16.8 to 49.6)</b>	<b>0.008</b>
Six minute walk (meters)	-88.7 (-122.2 to -55.2)	-95.7 (-133.7 to -57.6)	0.766
<b>PASE (0-400 points)</b>	<b>-15 (-38 to 7)</b>	<b>18 (-12 to 47)</b>	<b>0.092</b>

# COMPARING LONG-TERM CHANGE MALES VS FEMALES

	<b>MALES (n=16)</b>	<b>FEMALES (n=27)</b>	<b>P-VALUE</b>
	Mean (95% CI)		
<b>SRS-30 self-image (0-5 points)</b>	<b>0.19 (-0.09 to 0.47)</b>	<b>-0.16 (-0.42 to 0.1)</b>	<b>0.069</b>
<b>PROMIS mental health t-score</b>	<b>3.3 (0.6 to 6.1)</b>	<b>-0.2 (-3.2 to 2.6)</b>	<b>0.073</b>
<b>PROMIS physical health t-score</b>	<b>0.6 (-1.9 to 3.1)</b>	<b>-3.2 (-5.3 to -1.2)</b>	<b>0.025</b>
<b>PROMIS physical function t-score</b>	<b>1.3 (-1.9 to 4.1)</b>	<b>-1.5 (-4.2 to 1.3)</b>	<b>0.005</b>
	Median and Interquartile Range (Q1 to Q3)		
Spinal flexion (peak torque/bw)	6.0 (-6.6 to 18.7)	0.8 (-3.5 to 5.1)	0.778
Spinal extension (peak torque/bw)	5.7 (-19.3 to 30.8)	-4.2 (-12.9 to 4.5)	0.572

# COMPARING SHORT-TERM VS LONG-TERM CHANGE



# LONG-TERM BENEFITS

## **Kyphosis improved short- and long-term.**

- 3.8 degree short-term improvement (4.8 degree group difference)
- 1.5 degree long-term improvement
- Minimal Detectable Change (MDC) = 2.5 degrees
- Kyphosis did not progress as expected long-term

## **Lordosis improved long-term.**

- No short-term improvement
- 8.9 degrees long-term improvement
- Exceeds MDC of 3.9 degrees

# LONG-TERM BENEFITS

## **Gait speed improved 0.08 m/s long term.**

- Minimal Clinically Important Difference (MCID) 0.05 m/s (Perera and Kwon, 2006; Pulignano, 2016).

## **Time loaded standing (TLS) improved long-term in females 33.2 s.**

- MCID not reported.
- Exceeds 9.8 (SD=52.4) s change over 1 year after short-term (12 week) exercise intervention among older adults (Barker, et al. 2020).

## **PASE improved (in females) but not clinically meaningful amount**

- MDC 87 s for older adults with osteoarthritis (Svege, 2012).

## **Modified PPT and 6MWT declined as expected over 3-years.**

**Changes comparable in males and females except in TLS, PASE.**

# STRENGTHS AND LIMITATIONS

- Insight into long-term efficacy of short-term treatment.
- 42% of the eligible cohort returned for follow-up.
- Follow-up time not consistent.
- Did not include radiographs for Cobb angle measurements of kyphosis long-term.
- 95% of our cohort rated health good to excellent, limiting generalizability.

## SECONDARY ANALYSIS OF CHANGE IN PHYSICAL FUNCTION AFTER EXERCISE INTERVENTION IN OLDER ADULTS WITH HYPERKYPHOSIS AND LOW FUNCTION

Purpose: Determine whether older adults with low physical function and hyperkyphosis improve physical function after a kyphosis targeted intervention.

Methods: Twenty-six (26%) of the 101 participants who completed the SCOR trial were low functioning, with Short Physical Performance Battery (SPPB) of 9.6 (SD=1.2) points.

Results: After controlling for age, SPPB improved 0.77 (95% CI: 0.23 to 1.3) points in low function group, exceeding small meaningful change of 0.54 points. No adverse events.

Conclusions: Older adults with low physical function may safely participate in targeted high intensity kyphosis exercise and posture training. Low function participants may improve function, but larger adequately powered studies are needed.

# EXERCISE FOR IMPROVING AGE-RELATED HYPERKYPHOSIS: A SYSTEMATIC REVIEW AND META-ANALYSIS WITH GRADE ASSESSMENT

Purpose: To determine the effects of exercise interventions on kyphosis angle and secondary back extensor muscle strength or endurance, physical function, quality of life, pain, falls, and adverse events in adults 45 years or older with hyperkyphosis.

Methods: 24 studies were included in the meta-analysis.

Results: Exercise or physical therapy improved kyphosis, back extensor muscle strength and endurance, health-related quality of life, pain, and Timed Up and Go scores. Effects on falls uncertain.

Conclusions: Interventions targeting hyperkyphosis, all included spinal strengthening, may improve kyphosis outcomes in adults with hyperkyphosis.

# THE ASSOCIATION BETWEEN PHYSICAL FUNCTION AND HYPERKYPHOSIS IN OLDER FEMALES: A SYSTEMATIC REVIEW AND META-ANALYSIS

Purpose: Examine the association between hyperkyphosis and physical function in older females.

Methods: Three longitudinal cohort and 22 cross-sectional studies of fair to good quality were included.

Results: Hyperkyphosis was associated with lower physical function in older females.

Conclusions: Three cohort studies suggest that greater kyphosis angles may predict greater loss of physical function over time, supporting therapies to reduce hyperkyphosis may help preserve physical function with aging.

*Roghani, T, Allen, D, Gladin, A, ... Katzman WB. J of Geriatric PT, 2023; 00(0):1-12.*

# FEASIBILITY & ACCEPTABILITY OF TECHNOLOGY-BASED EXERCISE & POSTURE TRAINING IN OLDER ADULTS WITH AGE-RELATED HYPERKYPHOSIS: PRE-POST STUDY

Purpose: Assess feasibility and acceptability of a technology-based exercise and posture training program. Secondary aim to explore efficacy for kyphosis, physical function, and health-related quality of life.

Methods: Delivered video clip links and text messaging prompts via a mobile phone for 6-weeks.

Results: Kyphosis, occiput to wall distance, and physical activity significantly improved after the 6-week intervention.

Conclusions: Technology-based exercise and posture training using video clip viewing and text messaging reminders is feasible and acceptable for a small cohort of older adults with hyperkyphosis.

# SUMMARY

## **Is kyphosis modifiable?**

Targeted kyphosis-specific exercise and posture training decreases kyphosis in older adults and may prevent progression of kyphosis over time.

## **What are effective interventions?**

Spinal strengthening is a necessary component, along with postural training to improve motor learning and muscle activation during ADLs.

## **Does change in kyphosis associate with change in physical function?**

Reducing kyphosis improves physical function among low function older adults. Moderate certainty in meta-analysis that exercise including spine strengthening improves kyphosis and physical function in adults 45 years+.  
Slowing kyphosis progression may help prevent physical function decline.

Thank you to my collaborators, colleagues, study participants and funders.

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UCSF/KPNC BIRCWH K-12 Award

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RO1 AG041921 grant from the NIH National Institute of Aging (NIA)

NIH/UCSF P30 AG044281 Pepper Center and a grant from the NIH National Center Advancing Translational Science, CTSI-Pilot Awards Project (#127552Q)

UCSF RAP program

**BIRCWH** Building Interdisciplinary Research  
Careers in Women's Health



# QUESTIONS??????



## Relevant publications

1. **Katzman WB**, Sellmeyer DE, Stewart AL, Wanek L, Hamel KA. Changes in flexed posture, musculoskeletal impairments, and physical performance after group exercise in community-dwelling older women. *Arch Phys Med Rehabil.* 2007 Feb; 88(2):192-9. PMID: 17270517
2. Pawlowsky SB, Hamel KA, **Katzman WB**. Stability of kyphosis, strength, and physical performance gains 1 year after a group exercise program in community-dwelling hyperkyphotic older women. *Arch Phys Med Rehabil.* 2009 Feb; 90(2):358-61. PMID: 19236993. PMCID: PMC2907351
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The revised Stand Tall™ exercise video is available from the UCSF Department of Physical Therapy and Rehabilitation Health and Wellness Center as an mp4 file.

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